



U.S. RAILROAD RETIREMENT BOARD

OFFICE OF INSPECTOR GENERAL

This report summary presents the abbreviated results of the subject audit. The full report includes information protected from disclosure and has been designated for limited distribution pursuant to 5 U. S. C. § 552.

The Legacy Systems Modernization Initiatives at the Railroad Retirement Board are Progressing Effectively

Report No. 19-12

September 26, 2019

OFFICE OF INSPECTOR GENERAL U.S. RAILROAD RETIREMENT BOARD

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What DP George & Company Found

“DP George & Company, LLC (DPG) audited the Railroad Retirement Board (RRB) Legacy Systems Migration Services and Assessment for Re-engineering Mission Essential Programs (Re-engineering Assessment) information technology projects against, strategic and project management plans maintained by the agency, Office of Management and Budget (OMB) information technology planning guidance, and other best practice guidance for project management.”

The RRB awarded contracts in support of the Legacy Systems Modernization Initiatives. The Legacy Systems Migration Services project and the Assessment for Re-engineering Mission Essential Programs are the first and third components of this initiative. The awarded amount for the Legacy Systems Migration Services project was \$4,326,000. The awarded amount for the Assessment for Re-engineering Mission Essential Programs project was \$625,000.

DPG determined that the Legacy Systems Migration Services project was completed. They determined that the Re-engineering Assessment project is underway. They assessed that the status of project outcomes and anticipated improvements were realized for the applications that were converted. The outcomes and improvements for the Assessment for Re-engineering Mission Essential Programs were not assessed because the project was not complete. The Legacy Systems Migration Services project was managed effectively. The Assessment for Re-engineering Mission Essential Programs could not be fully assessed for effectiveness by DPG because the project was awarded after the audit scope ended.

DPG did not make any recommendations. The Legacy Systems Migration Services project is complete. The Assessment for Re-engineering Mission Essential Programs was awarded and one deliverable was received, another deliverable was scheduled for August 2019 and the project was on schedule for September 2019 completion.

What We Did

The Office of Inspector General (OIG) for the Railroad Retirement Board (RRB) engaged DPG to conduct a performance audit. The audit objectives included (1) determine and describe the progress that the RRB has made compared to its project plans for the Legacy Systems Migration Services and Assessment for Re-engineering Mission Essential Programs phases of the Legacy Systems Modernization initiative, (2) evaluate the current status of the project outcomes for the two phases, and evaluate if improvements anticipated at the beginning of the projects have been realized to date, and (3) evaluate if the RRB is managing the projects in a way that is effective, including cost effective. This audit was conducted in accordance with the performance audit standards established by Generally Accepted Government Auditing Standards. DPG is responsible for the audit report and the conclusions expressed therein. RRB OIG does not express any assurance on the conclusions presented in DPG’s audit report.

The scope of this audit covered project planning activities performed for the Legacy Systems Migration Services project and the Assessment for Re-engineering Mission Essential Programs through March 31, 2019.