

Office of Inspector General

Railroad Retirement Board



**Open or Unimplemented
Audit Recommendations
and Cost Savings as of
September 30, 2018**

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

The Office of Audit (OA) conducts financial, performance, and compliance audits and evaluations of Railroad Retirement Board (RRB) programs. These responsibilities include performing the statutorily required annual audit of the RRB's financial statements and the evaluation of the agency's information security program pursuant to the Federal Information Security Modernization Act of 2014. In addition, OA regularly conducts audits and evaluations of the RRB's Medicare and benefit payment programs. Through its audit activities, OA keeps Board Members and the Congress informed of current and potential problems and deficiencies in the RRB's operations.

As of September 30, 2018, the Office of Audit had 340 open recommendations for improvement, 62 of which RRB Management did not concur. The OIG believes all 340 recommendations should be implemented. Please refer to the following table for specific information about each open audit recommendation. The dates on which the recommendations were initially made are the Audit Report Date.

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|------------------------------|---|
| REPORT TITLE | Management Information Report - Financial Interchange: Status of Prior Recommendations for Change |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/9916.pdf |
| REPORT DATE | 9/28/1999 |
| REPORT NUMBER | 99-16 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$48,000,000 |
| COST TYPE | Funds Put to Better Use |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------|---|
| REPORT TITLE | Review of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/0204.pdf |

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|------------------------------|--|
| REPORT DATE | 2/5/2002 |
| REPORT NUMBER | 02-04 |
| RECOMMENDATION NUMBER | 24 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Evaluation of the RRB's Processing of Disability Earnings Cases |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20the%20RRB%27s%20Processing%20of%20Disability%20Earnings%20Cases.pdf |
| REPORT DATE | 2/11/2005 |
| REPORT NUMBER | 05-03 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$1,800,000 (Aggregate total from recommendations 1 and 2.) |
| COST TYPE | Funds Put to Better Use |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Evaluation of the RRB's Processing of Disability Earnings Cases |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20the%20RRB%27s%20Processing%20of%20Disability%20Earnings%20Cases.pdf |
| REPORT DATE | 2/11/2005 |
| REPORT NUMBER | 05-03 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$1,800,000 (Aggregate total from recommendations 1 and 2.) |
| COST TYPE | Funds Put to Better Use |

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|------------------------------|---|
| REPORT TITLE | Review of Internal Control Over the Actuarial Projection Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Review%20of%20Internal%20Control%20Over%20the%20Actuarial%20Projection%20Process.pdf |
| REPORT DATE | 5/5/2005 |
| REPORT NUMBER | 05-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|-------------------------|---|
| REPORT TITLE | Review of the Termination and Suspension of Benefits Paid Under the Railroad Retirement Act |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/0606.pdf |

| | |
|-------------------------------------|--|
| REPORT DATE | 5/24/2006 |
| REPORT NUMBER | 06-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$200,000 |
| COST TYPE | Funds Put to Better Use |

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|-------------------------|---|
| REPORT TITLE | Review of Incident Handling and Reporting at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/0609.pdf |

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|-------------------------------------|--|
| REPORT DATE | 8/24/2006 |
| REPORT NUMBER | 06-09 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------|---|
| REPORT TITLE | Audit of Federal Income Taxes Withheld From Railroad Retirement Annuities |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/0707.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 7/30/2007 |
| REPORT NUMBER | 07-07 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2007 Evaluation of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/0708.pdf |

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|------------------------------|--|
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2007 Evaluation of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/0708.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------|--|
| REPORT TITLE | Audit of Controls to Safeguard Sensitive Personally Identifiable Information |
| REPORT HYPERLINK | |

| | |
|------------------------------|--|
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-09 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|--|
| REPORT TITLE | Audit of Controls to Safeguard Sensitive Personally Identifiable Information |
| REPORT HYPERLINK | |
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-09 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|--|
| REPORT TITLE | Audit of Controls to Safeguard Sensitive Personally Identifiable Information |
| REPORT HYPERLINK | |
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-09 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|--|
| REPORT TITLE | Audit of Controls to Safeguard Sensitive Personally Identifiable Information |
| REPORT HYPERLINK | |
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-09 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|--|
| REPORT TITLE | Audit of Controls to Safeguard Sensitive Personally Identifiable Information |
| REPORT HYPERLINK | |
| REPORT DATE | 9/27/2007 |
| REPORT NUMBER | 07-09 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20Information%20Security%20for%20the%20RRB%27s%20Financial%20Interchange%20Major%20Application%20-%20Abstract.pdf |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20Information%20Security%20for%20the%20RRB%27s%20Financial%20Interchange%20Major%20Application%20-%20Abstract.pdf |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20Information%20Security%20for%20the%20RRB%27s%20Financial%20Interchange%20Major%20Application%20-%20Abstract.pdf |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20Information%20Security%20for%20the%20RRB%27s%20Financial%20Interchange%20Major%20Application%20-%20Abstract.pdf |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20Information%20Security%20for%20the%20RRB%27s%20Financial%20Interchange%20Major%20Application%20-%20Abstract.pdf |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20Information%20Security%20for%20the%20RRB%27s%20Financial%20Interchange%20Major%20Application%20-%20Abstract.pdf |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Evaluation of Information Security for the RRB's Financial Interchange Major Application - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Evaluation%20of%20Information%20Security%20for%20the%20RRB%27s%20Financial%20Interchange%20Major%20Application%20-%20Abstract.pdf |
| REPORT DATE | 9/26/2008 |
| REPORT NUMBER | 08-03 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2008 Evaluation of Information Security at the RRB |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Fiscal%20Year%202008%20Evaluation%20of%20Information%20Security%20at%20the%20RRB.pdf |
| REPORT DATE | 9/30/2008 |
| REPORT NUMBER | 08-05 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2008 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Fiscal%20Year%202008%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 3/24/2009 |
| REPORT NUMBER | 09-02 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2008 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Fiscal%20Year%202008%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 3/24/2009 |
| REPORT NUMBER | 09-02 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2008 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Fiscal%20Year%202008%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 3/24/2009 |
| REPORT NUMBER | 09-02 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$600,000 |
| COST TYPE | Funds Put to Better Use |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2008 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Fiscal%20Year%202008%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 3/24/2009 |
| REPORT NUMBER | 09-02 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Medicare Integrity Program at Palmetto Government Benefits Administrators |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Audit%20of%20the%20Railroad%20Medicare%20Integrity%20Program%20at%20Palmetto%20Government%20Benefits%20Administrators.pdf |
| REPORT DATE | 9/25/2009 |
| REPORT NUMBER | 09-04 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Medicare Integrity Program at Palmetto Government Benefits Administrators |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Audit%20of%20the%20Railroad%20Medicare%20Integrity%20Program%20at%20Palmetto%20Government%20Benefits%20Administrators.pdf |
| REPORT DATE | 9/25/2009 |
| REPORT NUMBER | 09-04 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Medicare Integrity Program at Palmetto Government Benefits Administrators |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/Audit%20of%20the%20Railroad%20Medicare%20Integrity%20Program%20at%20Palmetto%20Government%20Benefits%20Administrators.pdf |
| REPORT DATE | 9/25/2009 |
| REPORT NUMBER | 09-04 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2009 Evaluation of Information Security at the RRB |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1001%5B1%5D.pdf |
| REPORT DATE | 11/12/2009 |
| REPORT NUMBER | 10-01 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------|---|
| REPORT TITLE | Review of Statistical Methods Employed in the Financial Interchange Determination |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1006%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/4/2010 |
| REPORT NUMBER | 10-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------|---|
| REPORT TITLE | Review of Statistical Methods Employed in the Financial Interchange Determination |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1006%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/4/2010 |
| REPORT NUMBER | 10-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Review of Statistical Methods Employed in the Financial Interchange Determination |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1006%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/4/2010 |
| REPORT NUMBER | 10-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Review of Statistical Methods Employed in the Financial Interchange Determination |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1006%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/4/2010 |
| REPORT NUMBER | 10-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Review of the Technical Approach and Methodology Used to Determine the Annual Financial Interchange Amount for the Year Ended September 30, 2008

REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-05/1007%5B1%5D.pdf>

REPORT DATE 5/19/2010

REPORT NUMBER 10-07

RECOMMENDATION NUMBER 1

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

REPORT TITLE Review of the Accuracy of the Financial Interchange as Executed for the Year Ended September 30, 2008

REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-05/1010%5B1%5D.pdf>

REPORT DATE 5/25/2010

REPORT NUMBER 10-10

RECOMMENDATION NUMBER 1

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Audit of Unilateral Disability Freeze Determinations
REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-05/1011%5B1%5D.pdf>

REPORT DATE 8/12/2010
REPORT NUMBER 10-11
RECOMMENDATION NUMBER 3
MANAGEMENT DECISION Management concurred with this recommendation.
TOTAL POTENTIAL COST SAVINGS
COST TYPE

REPORT TITLE Audit of Unilateral Disability Freeze Determinations
REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-05/1011%5B1%5D.pdf>

REPORT DATE 8/12/2010
REPORT NUMBER 10-11
RECOMMENDATION NUMBER 5
MANAGEMENT DECISION Management concurred with this recommendation.
TOTAL POTENTIAL COST SAVINGS
COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Account Benefits Ratio |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1012%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/29/2010 |
| REPORT NUMBER | 10-12 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of Controls Over Railroad Medicare Contract Costs |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1106%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/20/2011 |
| REPORT NUMBER | 11-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$6,793,002 |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of Controls Over Railroad Medicare Contract Costs |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1106%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/20/2011 |
| REPORT NUMBER | 11-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$168,539 |
| COST TYPE | Questioned Costs |

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|------------------|---|
| REPORT TITLE | Audit of Controls Over Railroad Medicare Contract Costs |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1106%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/20/2011 |
| REPORT NUMBER | 11-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of Controls Over Railroad Medicare Contract Costs |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1106%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/20/2011 |
| REPORT NUMBER | 11-06 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of Railroad Retirement Act Benefit Overpayments and Internal Controls |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1107%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 6/29/2011 |
| REPORT NUMBER | 11-07 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Review of the Railroad Retirement Board's Security Patch Management Process – Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1108%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 7/7/2011 |
| REPORT NUMBER | 11-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Review of the Railroad Retirement Board's Security Patch Management Process – Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1108%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 7/7/2011 |
| REPORT NUMBER | 11-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Review of the Railroad Retirement Board's Security Patch Management Process – Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1108%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 7/7/2011 |
| REPORT NUMBER | 11-08 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Agency Enterprise General Information Support System Certification and Accreditation - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1110%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/28/2011 |
| REPORT NUMBER | 11-10 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2011 Evaluation of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1202%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 1/5/2012 |
| REPORT NUMBER | 12-02 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2011 Evaluation of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1202%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 1/5/2012 |
| REPORT NUMBER | 12-02 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2011 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1204%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 1/31/2012 |
| REPORT NUMBER | 12-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2011 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1204%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 1/31/2012 |
| REPORT NUMBER | 12-04 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2011 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1204%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 1/31/2012 |
| REPORT NUMBER | 12-04 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Representative Payee Monitoring |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1207%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 7/27/2012 |
| REPORT NUMBER | 12-07 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Representative Payee Monitoring |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1207%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 7/27/2012 |
| REPORT NUMBER | 12-07 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Inspection of the Railroad Retirement Board's Financial Interchange System Continuous Monitoring - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1208%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/21/2012 |
| REPORT NUMBER | 12-08 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of Job Duty Verification Procedures for Long Island Rail Road Occupational Disability Applicants |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1302%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 1/15/2013 |
| REPORT NUMBER | 13-02 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Audit of Job Duty Verification Procedures for Long Island Rail Road Occupational Disability Applicants |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1302%5B1%5D.pdf |
| REPORT DATE | 1/15/2013 |
| REPORT NUMBER | 13-02 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$3,800,000 (Aggregate total from recommendations 2, 3, 4, and 5.) |
| COST TYPE | Questioned Costs |

| | |
|------------------------------|---|
| REPORT TITLE | Audit of Job Duty Verification Procedures for Long Island Rail Road Occupational Disability Applicants |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1302%5B1%5D.pdf |
| REPORT DATE | 1/15/2013 |
| REPORT NUMBER | 13-02 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$3,800,000 (Aggregate total from recommendations 2, 3, 4, and 5.) |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of Job Duty Verification Procedures for Long Island Rail Road Occupational Disability Applicants |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1302%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 1/15/2013 |
| REPORT NUMBER | 13-02 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$3,800,000 (Aggregate total from recommendations 2, 3, 4, and 5.) |
| COST TYPE | Questioned Costs |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1304%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1304%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1304%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1304%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1304%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1304%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1304%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2012 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1304%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/12/2013 |
| REPORT NUMBER | 13-04 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Government Charge Card Abuse Prevention Act of 2012 |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1310%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/19/2013 |
| REPORT NUMBER | 13-10 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Government Charge Card Abuse Prevention Act of 2012 |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1310%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/19/2013 |
| REPORT NUMBER | 13-10 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1311%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/19/2013 |
| REPORT NUMBER | 13-11 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Audit of the Railroad Retirement Board's Privacy Program
REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-05/1311%5B1%5D.pdf>

REPORT DATE 9/20/2013
REPORT NUMBER 13-11
RECOMMENDATION NUMBER 1
MANAGEMENT DECISION Management concurred with this recommendation.
TOTAL POTENTIAL COST SAVINGS
COST TYPE

REPORT TITLE Audit of the Railroad Retirement Board's Privacy Program
REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-05/1311%5B1%5D.pdf>

REPORT DATE 9/20/2013
REPORT NUMBER 13-11
RECOMMENDATION NUMBER 3
MANAGEMENT DECISION Management concurred with this recommendation.
TOTAL POTENTIAL COST SAVINGS
COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1311%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/20/2013 |
| REPORT NUMBER | 13-11 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1311%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/20/2013 |
| REPORT NUMBER | 13-11 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1311%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/20/2013 |
| REPORT NUMBER | 13-11 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Privacy Program |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1311%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/20/2013 |
| REPORT NUMBER | 13-11 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Audit of the Railroad Retirement Board's Privacy Program
REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-05/1311%5B1%5D.pdf>

REPORT DATE 9/20/2013
REPORT NUMBER 13-11
RECOMMENDATION NUMBER 11
MANAGEMENT DECISION Management concurred with this recommendation.
TOTAL POTENTIAL COST SAVINGS
COST TYPE

REPORT TITLE Fiscal Year 2013 Financial Statement Audit Letter to Management
REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-05/1402%5B1%5D.pdf>

REPORT DATE 2/20/2014
REPORT NUMBER 14-02
RECOMMENDATION NUMBER 1
MANAGEMENT DECISION Management concurred with this recommendation.
TOTAL POTENTIAL COST SAVINGS
COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2013 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1403%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/4/2014 |
| REPORT NUMBER | 14-03 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2013 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1403%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/4/2014 |
| REPORT NUMBER | 14-03 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2013 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1403%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/4/2014 |
| REPORT NUMBER | 14-03 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of Railroad Retirement Act Dual Spouse Annuity Adjustments |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1404%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/20/2014 |
| REPORT NUMBER | 14-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$866,000 |
| COST TYPE | Funds Put to Better Use |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 21 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the General and Application Controls in the Railroad Retirement Board's Financial Management Information System-Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1406%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/6/2014 |
| REPORT NUMBER | 14-06 |
| RECOMMENDATION NUMBER | 22 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Management Information Report - Financial Impact of Injury Settlements Awarding Service Months to Qualify Railroad Employees for Occupational Disability Benefits |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1408%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 7/18/2014 |
| REPORT NUMBER | 14-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$11,900,000 |
| COST TYPE | Funds Put to Better Use |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Management Information Report - Financial Impact of Injury Settlements Awarding Service Months to Qualify Railroad Employees for Occupational Disability Benefits |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1408%5B1%5D.pdf |
| REPORT DATE | 7/18/2014 |
| REPORT NUMBER | 14-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Management Information Report - Railroad Medicare Progress and Challenges |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1409%5B1%5D.pdf |
| REPORT DATE | 7/25/2014 |
| REPORT NUMBER | 14-09 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Management Information Report - Railroad Medicare Progress and Challenges |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1409%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 7/25/2014 |
| REPORT NUMBER | 14-09 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Business Process Controls in the Financial Management Integrated System |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1410%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/1/2014 |
| REPORT NUMBER | 14-10 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$17,300,000 |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Business Process Controls in the Financial Management Integrated System |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1410%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/1/2014 |
| REPORT NUMBER | 14-10 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Data Management Application Controls and Selected General Controls in the Financial Management Integrated System |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1412%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/30/2014 |
| REPORT NUMBER | 14-12 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Data Management Application Controls and Selected General Controls in the Financial Management Integrated System |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1412%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/30/2014 |
| REPORT NUMBER | 14-12 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Data Management Application Controls and Selected General Controls in the Financial Management Integrated System |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1412%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 9/30/2014 |
| REPORT NUMBER | 14-12 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | The Railroad Retirement Board Lacks Adequate Controls over Creditable Compensation Related to Injury Settlements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1503.pdf |
| REPORT DATE | 1/29/2015 |
| REPORT NUMBER | 15-03 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | The Railroad Retirement Board Lacks Adequate Controls over Creditable Compensation Related to Injury Settlements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1503.pdf |
| REPORT DATE | 1/29/2015 |
| REPORT NUMBER | 15-03 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1504%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/16/2015 |
| REPORT NUMBER | 15-04 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1504%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/16/2015 |
| REPORT NUMBER | 15-04 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1504%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/16/2015 |
| REPORT NUMBER | 15-04 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1504%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/16/2015 |
| REPORT NUMBER | 15-04 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2014 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1504%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/16/2015 |
| REPORT NUMBER | 15-04 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2014 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1505.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/31/2015 |
| REPORT NUMBER | 15-05 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2014 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1505.pdf |
| REPORT DATE | 3/31/2015 |
| REPORT NUMBER | 15-05 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1507_0.pdf |
| REPORT DATE | 5/15/2015 |
| REPORT NUMBER | 15-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report

REPORT HYPERLINK https://www.rrb.gov/sites/default/files/2017-01/1507_0.pdf

REPORT DATE 5/15/2015

REPORT NUMBER 15-06

RECOMMENDATION NUMBER 2

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

REPORT TITLE Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report

REPORT HYPERLINK https://www.rrb.gov/sites/default/files/2017-01/1507_0.pdf

REPORT DATE 5/15/2015

REPORT NUMBER 15-06

RECOMMENDATION NUMBER 6

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1507_0.pdf |
| REPORT DATE | 5/15/2015 |
| REPORT NUMBER | 15-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management agreed with the recommendations' substance, but did not concur with the organization in which it was directed. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$8,600,000 |
| COST TYPE | Questioned Costs |

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2014 Performance and Accountability Report |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1507_0.pdf |
| REPORT DATE | 5/15/2015 |
| REPORT NUMBER | 15-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Medicare Contract Oversight Did Not Effectively Mitigate Chiropractic Service Risks |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1507.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 6/4/2015 |
| REPORT NUMBER | 15-07 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Medicare Contract Oversight Did Not Effectively Mitigate Chiropractic Service Risks |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1507.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 6/4/2015 |
| REPORT NUMBER | 15-07 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Medicare Contract Oversight Did Not Effectively Mitigate Chiropractic Service Risks |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1507.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 6/4/2015 |
| REPORT NUMBER | 15-07 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$14,000.000 |
| COST TYPE | Questioned Costs |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Medicare Contract Oversight Did Not Effectively Mitigate Chiropractic Service Risks |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1507.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 6/4/2015 |
| REPORT NUMBER | 15-07 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Internal Controls Over Obligations at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1508.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/14/2015 |
| REPORT NUMBER | 15-08 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Select Financial Management Integrated System Business Process Controls Need Improvement |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_7.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 11/30/2015 |
| REPORT NUMBER | 16-02 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Select Financial Management Integrated System Business Process Controls Need Improvement |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_7.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 11/30/2015 |
| REPORT NUMBER | 16-02 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Select Financial Management Integrated System Business Process Controls Need Improvement |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_7.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 11/30/2015 |
| REPORT NUMBER | 16-02 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Select Financial Management Integrated System Business Process Controls Need Improvement |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_7.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 11/30/2015 |
| REPORT NUMBER | 16-02 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1604.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/2/2016 |
| REPORT NUMBER | 16-04 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Control Weaknesses Diminish the Value of Medical Opinions in the Railroad Retirement Board Disability Determination Process |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-05/1605.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 3/9/2016 |
| REPORT NUMBER | 16-05 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 20 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2015 Audit of Information Security at the Railroad Retirement Board - Abstract |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1606_8.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/26/2016 |
| REPORT NUMBER | 16-06 |
| RECOMMENDATION NUMBER | 23 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2015 Performance and Accountability Report |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1607_0.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 5/13/2016 |
| REPORT NUMBER | 16-07 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2015 Performance and Accountability Report

REPORT HYPERLINK https://www.rrb.gov/sites/default/files/2017-01/1607_0.pdf

REPORT DATE 5/13/2016

REPORT NUMBER 16-07

RECOMMENDATION NUMBER 2

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS
COST TYPE

REPORT TITLE Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in the Fiscal Year 2015 Performance and Accountability Report

REPORT HYPERLINK https://www.rrb.gov/sites/default/files/2017-01/1607_0.pdf

REPORT DATE 5/13/2016

REPORT NUMBER 16-07

RECOMMENDATION NUMBER 3

MANAGEMENT DECISION Management does not concur with this recommendation. The OIG believes this recommendation should be implemented.

TOTAL POTENTIAL COST SAVINGS
COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Management Information Report - The Railroad Retirement Board's Method for Recording and Reporting Overpayments Identified by Death Matches Can Be Improved

REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-01/1608.pdf>

REPORT DATE 8/4/2016

REPORT NUMBER 16-08

RECOMMENDATION NUMBER 3

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

REPORT TITLE Management Information Report - The Railroad Retirement Board's Method for Recording and Reporting Overpayments Identified by Death Matches Can Be Improved

REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-01/1608.pdf>

REPORT DATE 8/4/2016

REPORT NUMBER 16-08

RECOMMENDATION NUMBER 4

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Management Information Report - The Railroad Retirement Board's Method for Recording and Reporting Overpayments Identified by Death Matches Can Be Improved

REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-01/1608.pdf>

REPORT DATE 8/4/2016

REPORT NUMBER 16-08

RECOMMENDATION NUMBER 5

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

REPORT TITLE Priority Audit Memorandum - Capturing Dates of Death from SSA and CMS Death Matches for Railroad Employees not Receiving Retirement Benefits

REPORT HYPERLINK

REPORT DATE 8/4/2016

REPORT NUMBER 16-08 PAM

RECOMMENDATION NUMBER 1

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

REPORT TITLE Priority Audit Memorandum - Capturing Dates of Death from SSA and CMS
Death Matches for Railroad Employees not Receiving Retirement Benefits

REPORT HYPERLINK

REPORT DATE 8/4/2016

REPORT NUMBER 16-08 PAM

RECOMMENDATION NUMBER 2

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

REPORT TITLE Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In
Accordance With Federal Requirements

REPORT HYPERLINK <https://www.rrb.gov/sites/default/files/2017-01/1610.pdf>

REPORT DATE 8/22/2016

REPORT NUMBER 16-10

RECOMMENDATION NUMBER 1

MANAGEMENT DECISION Management concurred with this recommendation.

TOTAL POTENTIAL COST SAVINGS

COST TYPE

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$30,400,000 |
| COST TYPE | Questioned Costs |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$33,800,000 |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 19 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 20 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 23 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Calculate Reimbursed Medicare Costs In Accordance With Federal Requirements |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/1610.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 8/22/2016 |
| REPORT NUMBER | 16-10 |
| RECOMMENDATION NUMBER | 24 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$19,500 |
| COST TYPE | Funds Put to Better Use |

| | |
|------------------|---|
| REPORT TITLE | Digital Accountability and Transparency Act of 2014 Readiness Assessment for the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/AccountandTransAct.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 10/4/2016 |
| REPORT NUMBER | DATA Act |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Digital Accountability and Transparency Act of 2014 Readiness Assessment for the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/AccountandTransAct.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 10/4/2016 |
| REPORT NUMBER | DATA Act |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Digital Accountability and Transparency Act of 2014 Readiness Assessment for the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/AccountandTransAct.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 10/4/2016 |
| REPORT NUMBER | DATA Act |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Digital Accountability and Transparency Act of 2014 Readiness Assessment for the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-01/AccountandTransAct.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 10/4/2016 |
| REPORT NUMBER | DATA Act |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2016 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1703%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/16/2017 |
| REPORT NUMBER | 17-03 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1703%5B1%5D.pdf |
| REPORT DATE | 2/16/2017 |
| REPORT NUMBER | 17-03 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1703%5B1%5D.pdf |
| REPORT DATE | 2/16/2017 |
| REPORT NUMBER | 17-03 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Fiscal Year 2016 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1703%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 2/16/2017 |
| REPORT NUMBER | 17-03 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$51,589 |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$2,173 |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------|---|
| REPORT TITLE | Railroad Retirement Board Did Not Always Comply with the Federal Travel Regulation |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1704%5B1%5D.pdf |

| | |
|------------------------------|--|
| REPORT DATE | 4/11/2017 |
| REPORT NUMBER | 17-04 |
| RECOMMENDATION NUMBER | 19 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in Fiscal Year 2016 Performance and Accountability Report |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1705%5B1%5D.pdf |
| REPORT DATE | 5/12/2017 |
| REPORT NUMBER | 17-05 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with the Improper Payments Elimination and Recovery Act of 2010 in Fiscal Year 2016 Performance and Accountability Report |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/1705%5B1%5D.pdf |
| REPORT DATE | 5/12/2017 |
| REPORT NUMBER | 17-05 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | \$19,000,000 |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 22 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 23 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 24 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 25 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 26 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 27 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 28 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 29 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 30 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 31 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 32 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 33 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 34 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2016 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-06/Audit%20Report%2017-06%20Abstract.pdf |
| REPORT DATE | 6/16/2017 |
| REPORT NUMBER | 17-06 |
| RECOMMENDATION NUMBER | 35 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|--|
| REPORT TITLE | Priority Audit Memorandum - Contractor Training Not Assigned |
| REPORT HYPERLINK | |
| REPORT DATE | 7/31/2017 |
| REPORT NUMBER | PAM 17-01 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Improvements Needed for the Program Evaluation Process at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-08/Audit%20Report%2017-07%20Improvements%20Needed%20for%20the%20Program%20Evaluation%20Process%20at%20the%20Railroad%20Retirement%20Board%20Working.pdf |
| REPORT DATE | 8/1/2017 |
| REPORT NUMBER | 17-07 |
| RECOMMENDATION NUMBER | 19 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board Must Take Further Action to be Compliant with Federal Records Management Directives |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-10/Railroad%20Retirement%20Board%20Must%20Take%20Further%20Action%20to%20be%20Compliant%20with%20Federal%20Records%20Management%20Directives_1.pdf |
| REPORT DATE | 9/28/2017 |
| REPORT NUMBER | 17-08 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board's Initial DATA Act Submission, While Timely, Was Not Complete or Accurate |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-11/Railroad%20Retirement%20Board%27s%20Initial%20DATA%20Act%20Submission%2C%20While%20Timely%2C%20Was%20Not%20Complete%20or%20Accurate_0.pdf |
| REPORT DATE | 11/8/2017 |
| REPORT NUMBER | 18-01 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board's Initial DATA Act Submission, While Timely, Was Not Complete or Accurate |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-11/Railroad%20Retirement%20Board%27s%20Initial%20DATA%20Act%20Submission%2C%20While%20Timely%2C%20Was%20Not%20Complete%20or%20Accurate_0.pdf |
| REPORT DATE | 11/8/2017 |
| REPORT NUMBER | 18-01 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board's Initial DATA Act Submission, While Timely, Was Not Complete or Accurate |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-11/Railroad%20Retirement%20Board%27s%20Initial%20DATA%20Act%20Submission%2C%20While%20Timely%2C%20Was%20Not%20Complete%20or%20Accurate_0.pdf |
| REPORT DATE | 11/8/2017 |
| REPORT NUMBER | 18-01 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board's Initial DATA Act Submission, While Timely, Was Not Complete or Accurate |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-11/Railroad%20Retirement%20Board%27s%20Initial%20DATA%20Act%20Submission%2C%20While%20Timely%2C%20Was%20Not%20Complete%20or%20Accurate_0.pdf |
| REPORT DATE | 11/8/2017 |
| REPORT NUMBER | 18-01 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board's Initial DATA Act Submission, While Timely, Was Not Complete or Accurate |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-11/Railroad%20Retirement%20Board%27s%20Initial%20DATA%20Act%20Submission%2C%20While%20Timely%2C%20Was%20Not%20Complete%20or%20Accurate_0.pdf |
| REPORT DATE | 11/8/2017 |
| REPORT NUMBER | 18-01 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board's Initial DATA Act Submission, While Timely, Was Not Complete or Accurate |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-11/Railroad%20Retirement%20Board%27s%20Initial%20DATA%20Act%20Submission%2C%20While%20Timely%2C%20Was%20Not%20Complete%20or%20Accurate_0.pdf |
| REPORT DATE | 11/8/2017 |
| REPORT NUMBER | 18-01 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Railroad Retirement Board's Initial DATA Act Submission, While Timely, Was Not Complete or Accurate |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2017-11/Railroad%20Retirement%20Board%27s%20Initial%20DATA%20Act%20Submission%2C%20While%20Timely%2C%20Was%20Not%20Complete%20or%20Accurate_0.pdf |
| REPORT DATE | 11/8/2017 |
| REPORT NUMBER | 18-01 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-02/Fiscal%20Year%202017%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 2/8/2018 |
| REPORT NUMBER | 18-04 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-02/Fiscal%20Year%202017%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 2/8/2018 |
| REPORT NUMBER | 18-04 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$28,600,000 |
| COST TYPE | Questioned Costs |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-02/Fiscal%20Year%202017%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 2/8/2018 |
| REPORT NUMBER | 18-04 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$329,700,000 |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-02/Fiscal%20Year%202017%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 2/8/2018 |
| REPORT NUMBER | 18-04 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$19,100,000 |
| COST TYPE | Questioned Costs |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-02/Fiscal%20Year%202017%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 2/8/2018 |
| REPORT NUMBER | 18-04 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-02/Fiscal%20Year%202017%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 2/8/2018 |
| REPORT NUMBER | 18-04 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$6,000,000 |
| COST TYPE | Questioned Costs |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Financial Statement Audit Letter to Management |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-02/Fiscal%20Year%202017%20Financial%20Statement%20Audit%20Letter%20to%20Management.pdf |
| REPORT DATE | 2/8/2018 |
| REPORT NUMBER | 18-04 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$19,900,000 |
| COST TYPE | Questioned Costs |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act of 2010 in Fiscal Year 2017 Performance and Accountability Report |
| REPORT HYPERLINK | https://rrb.gov/OurAgency/InspectorGeneral/Library?field_report_year_value=1&field_report_title_value=OIG+Audit+Reports&=Apply |
| REPORT DATE | 5/9/2018 |
| REPORT NUMBER | 18-05 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$895 |
| COST TYPE | Questioned costs |

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|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act of 2010 in Fiscal Year 2017 Performance and Accountability Report |
| REPORT HYPERLINK | https://rrb.gov/OurAgency/InspectorGeneral/Library?field_report_year_value=1&field_report_title_value=OIG+Audit+Reports&=Apply |
| REPORT DATE | 5/9/2018 |
| REPORT NUMBER | 18-05 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | \$5,500,000 |
| COST TYPE | Questioned cost |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Audit of the Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act of 2010 in Fiscal Year 2017 Performance and Accountability Report |
| REPORT HYPERLINK | https://rrb.gov/OurAgency/InspectorGeneral/Library?field_report_year_value=1&field_report_title_value=OIG+Audit+Reports&=Apply |
| REPORT DATE | 5/9/2018 |
| REPORT NUMBER | 18-05 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 4 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 11 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 12 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 13 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 14 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 15 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 16 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 17 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 18 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 19 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 20 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Fiscal Year 2017 Audit of Information Security at the Railroad Retirement Board |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-06/Fiscal%20Year%202017%20Audit%20of%20Information%20Security%20at%20the%20Railroad%20Retirement%20Board.pdf |
| REPORT DATE | 6/5/2018 |
| REPORT NUMBER | 18-06 |
| RECOMMENDATION NUMBER | 21 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 1 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 2 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 3 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 5 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 6 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 7 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

| | |
|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 8 |
| MANAGEMENT DECISION | Management does not concur with this recommendation. The OIG believes this recommendation should be implemented. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

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|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 9 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

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|------------------------------|---|
| REPORT TITLE | Enterprise Risk Management Process at the Railroad Retirement Board Was Not Fully Effective |
| REPORT HYPERLINK | https://www.rrb.gov/sites/default/files/2018-07/Enterprise%20Risk%20Management%20Process%20At%20The%20Railroad%20Retirement%20Board%20Was%20Not%20Fully%20Effective%20%27.pdf |
| REPORT DATE | 7/9/2018 |
| REPORT NUMBER | 18-07 |
| RECOMMENDATION NUMBER | 10 |
| MANAGEMENT DECISION | Management concurred with this recommendation. |
| TOTAL POTENTIAL COST SAVINGS | |
| COST TYPE | |

Open or Unimplemented Audit Recommendations and Cost Savings as of September 30, 2018

Hyperlink provided for 'Audit of the Railroad Retirement Board's Compliance with Improper Payments Elimination and Recovery Act of 2010 in Fiscal Year 2017 Performance and Accountability Report' (18-05) links to the U.S. RRB OIG library website. The direct website for OIG report number 18-05 is <<https://www.rrb.gov/sites/default/files/2018-05/Audit%20of%20the%20Railroad%20Retirement%20Board%27s%20Compliance%20with%20Improper%20Payments%20Elimination%20and%20Recovery%20Act%20of%202010%20in%20Fiscal%20Year%202017%20Performance%20and%20Accountability%20Report.pdf>>

In addition to the reports with hyperlinks shown above, OA has released the following reports and/or memoranda that are restricted.

'Audit of Controls to Safeguard Sensitive Personally Identifiable Information' (Report No. 07-09). The purpose of this audit was to assess the effectiveness of the agency's policies, procedures, and practices to adequately safeguard sensitive Personally Identifiable Information (PII). This report was restricted from public distribution because of its sensitive nature. Specifically, we noted that the RRB needs to establish a more comprehensive security program to fully ensure the physical security of sensitive PII at all RRB offices including sanitization and disposal of documents and equipment containing PII, security of sensitive PII when in transit, and accountability of equipment which may contain PII.

Priority Audit Memorandum in connection with 'The Railroad Retirement Board's Method for Recording and Reporting Overpayments Identified by Death Matches Can Be Improved' (Report No. 16-08). The Purpose of this memorandum was to advise the RRB of a potential vulnerability the OIG identified in the review of the RRB's death matching process. This memorandum was restricted from public distribution because of the sensitive nature of this vulnerability. Specifically, we noted that the RRB does not capture or maintain dates of death from the Social Security Administration and Centers for Medicare and Medicaid Services for railroad employees who are not currently receiving railroad retirement benefits.

'Priority Audit Memorandum - Contractor Training Not Assigned' (PAM 17-01). The purpose of this memorandum was to ensure timely completion of security awareness and safeguarding controlled unclassified information training by RRB contractors. This memorandum was restricted from public distribution because of its sensitive nature.

With regard to Total Potential Cost Savings, the Inspector General Act of 1978 defines 'Funds Put to Better Use' as those associated with a recommendation by the Office that funds could be used more efficiently if management of an establishment took actions to implement and complete the recommendation. The OIG considers 'Funds Put to Better Use' to be the known dollar value of errors detected in our audit tests. The Inspector General Act of 1978 defines 'Questioned Costs' as those that result from an alleged violation governing the expenditure of funds, a cost that is not supported by adequate documentation, or expenditure for a purpose that is unnecessary or unreasonable. The OIG considers sample projections based on an estimated number of error cases to be 'Questioned Costs.' An audit report can have both 'Questioned Costs' and 'Funds Put to Better Use.'