

Privacy Act of 1974 System of Records Notice U.S. RAILROAD RETIREMENT BOARD

Name	RRB-36: Complaint, Grievance, Disciplinary and Adverse Action Files
Federal Register	<u>79 FR 58896</u>
Effective Date	December 1, 2014
System Location	U.S. Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092.
Security Classification	None.
Categories of Individuals Covered by the System	Railroad Retirement Board employees who are the subjects of disciplinary or adverse actions or who have filed a complaint or grievance.
Categories of Records in the System	Information relating to proposals and decisions in cases of discipline and adverse actions; including supporting documents; information relating to grievances filed under the agency and negotiated grievance procedures, including the grievance, final decision and any evidence submitted by the employee and/or the agency in support of or contesting the grievance.
Authority for Maintenance of the System	Title 5 U.S.C. sections <u>7503(c)</u> , <u>7513(e)</u> , <u>7543(e)</u> .
Purpose(s)	The purpose of this system of records is to maintain information related to grievances, disciplinary actions, and adverse actions in order to furnish information to arbitrators, EEO investigators, the Merit Systems protection Board, the Federal Labor Relations Authority, and the Courts, as necessary. The information is also used for statistical purposes, as needed.
Routine Uses of Records Maintained in the System, Including Categories of Users and Purposes of Such Uses	a. Information in this system of records may be released to the attorney representing such individual, upon receipt of a written letter or declaration stating the fact of representation, subject to the same procedures and regulatory prohibitions as the subject individual.
	b. Records may be disclosed to officials of the Merit Systems Protection Board, including the Office of Special Counsel; the Federal Labor Relations Authority and its General Counse; or the Equal Employment Opportunity Commission when requeste in the performance of their authorized duties.
Disclosure to Consumer Reporting Agencies	None.
Policies and Practices for S	Storing, Retrieving, Accessing, Retaining, and Disposing of Records in the

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Storage Paper.



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Retrievability	Name of employee.
Safeguards	Maintained in areas not accessible to the public in locking filing cabinents. Access is limited to authorizied RRB employees. Offices are locked during non-business hours. Building has 24 hour on-site security officers, closed circuit television monitoring and intrusion detection systems.
Retention and Disposal	Maintained for four years, then destroyed in accordance with NIST guidance.
System Manager(s) and Address	Director of Human Resources, U.S. Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092
Notification Procedure	Requests for information regarding an individual's record should be addressed to the System Manager identified above and should include the name of the individual involved. Before information about any record will be released, the System Manager may require the individual to provide proof of identity or require the requester to furnish an authorization from the individual to permit release of information.
Record Access Procedure	See Notification section above.
Contesting Record Procedure	See Notification section above.
Record Source Categories	The Railroad Retirement Board employee, the employee's supervisor, bureau or network director, the executive director, or the employee's representative.
Exemptions Claimed for the System	None.